



**Shri Vaishnav Vidyapeeth Vishwavidyalaya, Indore**  
**Shri Vaishnav Institute of Social Sciences, Humanities and Arts Choice**  
**Based Credit System (CBCS) in Light of NEP-2020**  
**Generic Elective UG students (B.Tech V Semester)**

COURSE CODE	CATEGORY	COURSE NAME	TEACHING & EVALUATION SCHEME								
			THEORY			PRACTICAL		L	T	P	CREDITS
			END SEM University Exam	Two Term Exam	Teachers Assessment*	END SEM University Exam	Teachers Assessment*				
GUECO401	Generic Elective	Basics of Stock Market	60	20	20	0	0	3	0	0	3

**Legends:** L - Lecture; T - Tutorial/Teacher-Guided Student Activity; P – Practical; C - Credit.

**\*Teacher Assessment** shall be based on the following components: Quiz/Assignment/ Project/Participation in Class, given that no component shall exceed more than 10 marks.

**Course Educational Objectives:**

- CE01: Understand the structure and role of the Indian securities market.
- CE02: Learn key financial instruments such as equity and debt.
- CE03: Gain insights into primary and secondary market operations.
- CE04: Recognize investor rights, responsibilities, and protection mechanisms.
- CE05: Apply basic financial planning in stock market participation.

**Course Outcomes:**

Students will be able to:

- CO1: Explain the structure and functioning of Indian stock markets.
- CO2: Distinguish between various financial instruments and their features.
- CO3: Describe the process of public offerings and secondary market trading.
- CO4: Identify the roles of regulatory institutions and intermediaries.
- CO5: Apply basic financial planning strategies using stock market avenues.

**Contents**


**UNIT I: Introduction to Financial and Securities Markets**


Meaning and Functions of Financial and Securities Markets, Structure of Indian Securities Market: Primary and Secondary Markets, Role and Significance of SEBI, Significance of Securities Market in Economic Development.


**UNIT II: Financial Instruments in the Stock Market**

Overview of Securities: Definition, Features, and Classification, Equity Instruments: Types, Features, Risk-Return Characteristics, Debt Instruments: Bonds, Debentures – Types, Hybrid Instruments: Basic Concepts.

  
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**UNIT III: Primary Market Operations**

Function and Significance of Primary Market, Types of Issues: IPOs, FPOs, Rights Issues. Private Placements, Public Issue Process, Role of Merchant Bankers, Underwriters, and other Intermediaries.

**UNIT IV: Secondary Market Operations**

Role and Functioning of Stock Exchanges: NSE, BSE, Market Participants: Brokers, Investors, Depositories, Clearing Corporations, Trading Mechanisms: Demat Accounts, Trading Platforms.


**UNIT V: Investor Protection and Financial Planning**

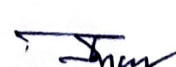
Rights and Responsibilities of Investors, Role of SEBI in Investor Protection and Grievance Redressal, Scams and Malpractices, Role of Securities in Individual Financial Planning.

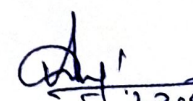
**Suggested Readings:**

1. NISM Series XII: Securities Markets Foundation, National Institute of Securities Markets (2019).
2. Gordon, E.; Natrajan, K. (2020). *Financial Markets and Services*. New Delhi: Himalaya Publishing House
3. Islam, Md. Ashraful. (2019). *Securities Analysis and Portfolio Management* New Delhi: McGraw Hill Publication
4. SEBI Official Website – [www.sebi.gov.in](http://www.sebi.gov.in)
5. NSE Official Website – [www.nseindia.com](http://www.nseindia.com)
6. BSE India – [www.bseindia.com](http://www.bseindia.com)
7. Supplementary Learning: Zerodha Varsity (for practical exposure)

  
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